



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045  
Filed: December 13, 1999  
Confirmation No.: 1941  
Inventor(s):  
Nesmith et al.

Examiner: Colbert, Ella  
Art Unit: 3624  
Atty. Dkt. No: 5226-00600/EBM

Title: COMPUTERIZED METHOD  
AND SYSTEM FOR  
TRADING OF SECURITIES

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|---|
| CERTIFICATE OF MAILING<br>UNDER 37 C.F.R. §1.8<br>DATE OF DEPOSIT: <i>September 29, 2005</i><br>I hereby certify that this correspondence is being deposited with<br>the United States Postal Service with sufficient postage as first<br>class mail on the date indicated above and is addressed to:<br>Commissioner for Patents<br>Alexandria VA 22313<br><i>B. Gail Ballard</i><br>B. Gail Ballard |
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**REQUEST FOR CONTINUED EXAMINATION**  
**REQUEST TRANSMITTAL**  
(under 37 CFR § 1.114)

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| This is a request for continued examination under 37 C.F.R. § 1.114 of application number 09/460,045, filed on December 13, 1999, entitled COMPUTERIZED METHOD AND SYSTEM FOR TRADING OF SECURITIES. |   |
| Inventors(s): Kevin A. Nesmith, Jason W. Abshire, John Bunda, Thomas Dixon, Erik E. Fierce, Todd W. Gruben, Sandi Lester, Jason C. Mongaras and Omar Salinas   |   |
| Examiner: Colbert, Ella  | Art Unit: 3624  |
| Assignee: Cornerstone Securities Corporation Recorded at Reel 010458, Frame 0137   |   |
| Correspondence Address in Prior Application:   | Eric B. Meyertons<br>Conley, Rose & Tayon, P.C.<br>P.O. Box 398<br>Austin, Texas 78767-0398 |

**Application Elements**

1. ☒ Filing Fee  
☒ A Fee Authorization Form authorizing a deposit account debit for the RCE fee (\$395.00) required under 37 C.F.R. § 1.17(e) is enclosed.
2. ☐ Information Disclosure Statement (IDS)  
☐ Copies of IDS Citations  
☐ Form(s) PTO-1449 (1 page)
3. Amendments  
☒ An amendment is enclosed.  
☐ Please **DO NOT** enter the amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 1.116.  
☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 1.116.

Appl. Ser. No.: 09/460,045  
Inventor: Nesmith et al.  
Atty. Dckt. No.: 5226-00600

- ☐ Please consider the arguments in the response filed on \_\_\_\_\_ under 37 C.F.R. § 1.116.  
☐ Please consider the arguments in the Appeal Brief or Reply Brief filed on \_\_\_\_\_.  
4. ☐ Please enter the enclosed affidavits or declarations.  
5. ☒ Return Receipt Postcard  
6. ☐ Petition under 37 C.F.R. § 1.136 for Extension of Time  
7. ☐ Other: \_\_\_\_\_

☒ New Correspondence address  
Customer No.: 35690  
Eric B. Meyertons  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
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If any extensions of time (under 37 C.F.R. § 1.136) are necessary to prevent the above referenced application(s) from becoming abandoned, Applicant(s) hereby petition for such extensions.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 50-1505/5226-00600/EBM.

Signature



Name

Mark R. DeLuca, Ph.D.

Registration No.

44,649

Date

9/29/05